



Sensitivity and specificity of the EAT-10 and SDQ-DP in identifying the risk of dysphagia in Parkinson's disease

Sensibilidade e especificidade do EAT-10 e SDQ-DP na identificação do risco de disfagia na doença de Parkinson

Adriana Ponsoni¹ Flavia Pereira Costa¹ Vinícius Nagy Soares¹ Camilla Gabriela Silva Santos² Lucia Figueiredo Mourão 1,20

Arq. Neuropsiquiatr. 2024;82(2):s00441779055.

Address for correspondence Adriana Ponsoni (email: adriponsoni@gmail.com)

Abstract

Background The early identification of risk for dysphagia in patients with Parkinson's disease (PD) is essential for the prevention of nutritional and pulmonary complications. **Objective** To analyze the sensitivity and specificity of the Swallowing Disturbance Questionnaire (SDQ-PD) and the Eating Assessment Tool (EAT-10) in identifying dysphagia risk in patients with early and intermediate stages of PD.

Methods Twenty-nine patients with PD participated in the study. EAT-10 and SDQ-PD questionnaires were applied, and a videofluoroscopic swallowing study. Dysphagia Outcome and Severity Scale (DOSS) was used to classify the presence and severity of dysphagia, and the Penetration-Aspiration Scale (PAS) was used to identify the presence of penetration/aspiration. In the statistical analysis, the sensitivity and specificity of the risk questionnaires were calculated, as well as positive predictive value, negative predictive value, and accuracy.

Results EAT-10 to identify the risk of penetration/aspiration revealed a sensitivity of 71.42% and specificity of 45.45%; in the identification of the presence of dysphagia, the sensitivity was 47.61%, and the specificity was 12.5%. The SDQ-PD questionnaire for risk of penetration/aspiration demonstrated a sensitivity of 28.57%, and a specificity of 68.18%. In terms of identifying the presence of dysphagia, the sensitivity was 20%,

while the specificity was 44.44%. Conclusion The SDQ-PD revealed low sensitivity and low specificity to identify the

Keywords

- Deglutition
- ► Deglutition Disorders
- ► Parkinson Disease
- Respiratory Aspiration

presence of dysphagia and/or penetration/aspiration in patients with early and intermediate stages of PD in this sample. Despite its low specificity, the EAT-10 exhibited good sensitivity in indicating the risk of penetration/aspiration.

received lune 1, 2023 received in its final form October 02, 2023 accepted October 12, 2023

DOI https://doi.org/ 10.1055/s-0044-1779055. ISSN 0004-282X.

© 2024. The Author(s).

This is an open access article published by Thieme under the terms of the Creative Commons Attribution 4.0 International License, permitting copying and reproduction so long as the original work is given appropriate credit (https://creativecommons.org/licenses/by/4.0/).

Thieme Revinter Publicações Ltda., Rua do Matoso 170, Rio de Janeiro, RJ, CEP 20270-135, Brazil

¹Universidade Estadual de Campinas, Faculdade de Ciências Médicas, Departamento de Gerontologia, Campinas SP, Brazil.

²Universidade Estadual de Campinas, Faculdade de Ciências Médicas, Departamento de Fonoaudiologia, Campinas SP, Brazil.

Resumo

Antecedentes A identificação precoce de risco para disfagia nos pacientes com doença de Parkinson (DP) é fundamental para a prevenção de complicações nutricionais e pulmonares.

Objetivo Analisar a sensibilidade e especificidade dos questionários Swallowing Disturbance Questionnaire (SDQ-PD) e Eating Assessment Tool (EAT-10) para a identificação do risco de disfagia em pacientes com DP nos estágios iniciais e intermediários da doença.

Métodos Participaram 29 pacientes com DP. Foi realizado a aplicação dos questionários EAT-10 e SDQ-PD e o exame de videofluoroscopia da deglutição. Para a classificação da presença e gravidade da disfagia foi utilizada a escala Dysphagia Outcome and Severity Scale e, para identificação da presença de penetração/aspiração, a escala Penetration-Aspiration Scale (PAS). Na análise estatística, calcularam-se a sensibilidade e a especificidade dos questionários de risco EAT-10 e SDQ-DP e o valor preditivo positivo, o valor preditivo negativo e a acurácia.

Resultados A análise do EAT-10 para identificar o risco de penetração/aspiração revelou sensibilidade de 71.42% e especificidade de 45.45%; para identificar a presença de disfagia, a sensibilidade foi de 47,61% e a especificidade de 12.5%. Em relação ao questionário SDQ-PD, para identificar risco de penetração/aspiração, a sensibilidade foi de 28.57% e a especificidade de 68.18% e, para identificar a presença de disfagia, a sensibilidade foi de 20% e a especificidade de 44.44%.

Conclusão O questionário SDQ-PD revelou baixa sensibilidade e baixa especificidade para identificar presença de disfagia e/ou penetração/aspiração em pacientes com DP em estágios iniciais e intermediários para essa amostra. O EAT-10 revelou boa sensibilidade na indicação de risco de penetração/aspiração, apesar de baixa especificidade.

Palavras-chave

- ► Deglutição
- ► Transtornos de Deglutição
- Doença de Parkinson
- AspiraçãoRespiratória

INTRODUCTION

Dysphagia is a frequent and highly relevant symptom of Parkinson's disease (PD) and is prominent in the advanced stages of the disease. However, changes in swallowing physiology can be detected in the early stages of PD. Instrumental exams, such as the Fiberoptic Endoscopic Evaluation of Swallowing (FESS) and videofluoroscopic swallowing studies (VFSS), make it possible to identify impairments in swallowing physiology in up to 95% of patients with PD. 3

Swallowing screening tools Eating Assessment Tool (EAT-10) and Swallowing Disturbance Questionnaire (SDQ-PD) are widely used by speech-language pathologists and neurologists in the clinical assessment of PD because they are easy to use and provide a quick and inexpensive alternative when specialized professionals and instrumental swallowing tests are unavailable.⁴ However, there are discrepancies in the prevalence of dysphagia in PD, with a prevalence of 35% and 85% when using questionnaires and instrumental assessments, respectively. 5 Schlickewei et al., 6 in a study using the EAT-10 questionnaire with 50 patients, did not find a correlation with aspiration/penetration scores, indicating that the EAT-10 failed to identify 38% of penetration/aspiration cases during FESS. In another study, 95% of 119 PD patients did not report swallowing problems but exhibited signs of penetration/aspiration during FEES.³

Although the use of questionnaires for screening dysphagia risk in patients with PD has been widely discussed, ^{3,6–9} there are controversies regarding these instruments because patients with PD often do not report changes in swallowing physiology, ^{3,5,6,8,10} which can lead to a delayed diagnosis of dysphagia. ¹¹ This delay can worsen symptoms and lead to clinical complications, often resulting in hospitalization for bronchoaspiration pneumonia. ^{1,2} Thus, we hypothesized that these questionnaires have low accuracy in detecting dysphagia and penetration/aspiration in patients in the early and intermediate stages of PD. We, therefore, aimed to analyze the sensitivity and specificity of the SDQ-PD and EAT-10 questionnaires to identify dysphagia risk comparing to videofluoroscopic swallowing exam in the early and intermediate stages of PD.

METHODS

Sample calculation

The sample size calculation was based on a dysphagia prevalence of 36.9% in PD (95% CI: 30.7–43.6%) according to Gong et al.¹⁰ To determine the sample size, the statistical software G*power 3.1.2 was used, analyzing the difference between means with a 95% confidence interval, a significance level of 5%, a margin of error of 5, and an estimated

standard deviation of 12.9, as per the prevalence value from the literature (36.9% with a 95% CI: 30.7–43.6%).¹⁰ The resulting sample included 29 patients.

Study setting and participants

The study was approved by the Ethics Committee of the Researchers' University (91231518.3.0000.5404). Written informed consent was obtained from all the patients. In this cross-sectional study, patients were selected from the Movement Disorders Clinic of Hospital Clínicas at the University of Campinas (UNICAMP). A total of 36 patients were recruited; however, only 29 met the inclusion and exclusion criteria. All assessments in the study were performed during the "on" phase of medication.

The inclusion criteria for participants were as follows:

- · neurological diagnosis of PD, according to the Brain Bank of London criteria¹²;
- classification in the initial and intermediate stages (1 to 3) on the Hoehn & Yahr (H&Y) scale¹³;
- no cognitive changes on the Scales for Outcomes in Parkinson's disease - Cognition (SCOPA-COG)¹⁴;
- · no use of benzodiazepines; and
- · use of antiparkinsonian medication for at least 30 days before the start of the study.

The exclusion criteria were as follows:

- contraindication to swallowing videofluoroscopy;
- · use of tracheostomy or an alternative feeding route;
- previous history of food allergy or allergy to barium sulfate; and
- · history of cancer and neurological comorbidities.

Sociodemographic data, including H&Y scales¹³ the Unified Parkinson's Disease Rating Scale (UPDRS) total score, motor subscale scores (UPDRS- III), and subscale scores for experiences of daily living (UPDRS-II) question speech and question swallowing. 15 SCOPA-COG, 14 PD duration, age, and sex, were collected from medical records. All the above diagnostic scales for PD were performed by neurologists during consultations at the Movement Disorders Clinic.

Swallowing assessment

The SDQ-PD⁷ and EAT-10¹⁶ questionnaires were administered by speech-language pathologists with experience in dysphagia. Although the instruments were self-assessments, the researcher assisted in reading the questions whenever requested by the patients.

EAT-10 questionnaire

The EAT-10 is a questionnaire that has been translated into Brazilian Portuguese. 17 It consists of 10 questions, and the answers range from "0, no problem" to "4, very large problem", with the sum ranging from 0 to 40, and a score \geq 3 meaning that the patient is at risk of dysphagia.¹⁷

SDQ-PD questionnaires

The SDQ-PD was translated and transculturally adapted into Brazilian Portuguese. 18 This instrument consists of 15 questions, five of which are related to the oral phase of swallowing and 10 of which are related to the pharyngeal phase. In this questionnaire, the responses to 14 questions are measured on a scale of 0 (never) to 3 (very often), and one question is binary (yes or no). A score \geq 11 indicates a risk of dysphagia.18

Videofluoroscopic swallowing study (VFSS)

Speech therapists who had no access to the questionnaire responses conducted the VFSS. The evaluators were experienced in performing the examination and received training and calibration using a Modified Barium Swallow Impairment Profile (MBSImP) protocol.¹⁹ The examination was performed using an X-ray machine (Shimadzu, 120 kV and 800 mA) at the researchers' university.

In the assessment protocol, examinations were divided into two parts. 19 The first part evaluated the lateral view, in which the following consistencies and volumes were classified according to the International Dysphagia Diet Standardization Initiative (IDDSI)²⁰: IDDSI 1, fine liquid (5 ml in the spoon, single sip from the glass, and continuous sips); liquid at IDDSI 2 consistency (5 ml from the spoon, single sip from the glass, and continuous sips); thickened liquid at IDDSI 3 consistency (5 ml in the spoon); thickened liquid, consistency IDDSI 4 (5 ml in the spoon); and solid IDDSI 6 (cookie). The second part evaluated the anteroposterior view, in which only the IDDSI 2 (5 ml on the spoon) and IDDSI 4 consistencies (5 ml on the spoon) were evaluated.

For the VFSS analysis, the computer was coupled to the X-ray, and a digital capture was performed using the Pinnacle Studio Video Editing software. All examinations were analyzed by a speech therapist who was "blinded" and certified for analysis using the MBSImP. 19 The frame-by-frame analysis and assignment of numerical codes (magnitude of change) were performed according to a standardized protocol.

The Dysphagia Outcome and Severity Scale (DOSS)²¹was used to classify the presence and severity of dysphagia, with scores ranging from 1 to 7 as follows: (1) severe dysphagia, (2) moderately severe dysphagia, (3) moderate dysphagia, (4) mild to moderate dysphagia, (5) mild dysphagia, (6) functional limits, and (7) normal swallowing.

On the DOSS scale, we considered levels 1–5 as the presence of dysphagia and levels 6–7 as normal swallowing.²¹

VFSS examinations were also analyzed in relation to the presence/absence of laryngeal penetration and aspiration using the Penetration-Aspiration Scale (PAS),²² which assesses the penetration and/or aspiration of food in the airways in a standardized manner. The scale has a classification composed of eight levels: (1) contrast does not penetrate the laryngeal airway invasion; (2) penetration into the larynx above the vocal folds without residue; (3) contrast remains above the vocal folds, with visible residue; (4) contrast visualized in vocal folds with absence of residue; (5) vocal fold contrast with residue; (6) contrast below the vocal folds, can perform cleaning; (7) contrast below the vocal folds without effective cleaning; and (8) silent aspiration.

The PAS was divided into two categories: levels 1-2 and 3-8.²³ Given that levels 1-2 are considered the absence of penetration and/or aspiration, and levels 3-8 indicate the presence of penetration and/or aspiration.²³

Statistical analysis

SPSS version 20 was used for data analysis. First, the Kolmogorov–Smirnov test was applied to analyze the normality of the data distribution. Subsequently, Student's t-test was performed to compare the mean and standard deviation of the duration of disease, H&Y, ¹³ UPDRS, ¹⁵ and age.

In the statistical analysis of the sensitivity and specificity of the screening instruments (EAT-10 and SDQ-PD), the videofluoroscopic swallowing study is considered the gold standard using the PAS and DOSS scales to quantify the data. The PAS was divided into two categories with dichotomous variables: absence of penetration/aspiration (levels between 1 and 2 were considered the absence of penetration and/or aspiration in the airways); and presence of penetration/aspiration (levels 3 to 8 were considered the presence of penetration and/or aspiration). For statistical analysis of the presence or absence of dysphagia, a dichotomous classification of the DOSS scale²¹ was also performed with the following categories: absence of dysphagia (levels 6–7) and presence of dysphagia (levels 1–5).²¹

The following statistical analyses were performed:

 analysis of the specificity and sensitivity of the EAT-10 compared with the results of the videofluoroscopic swallowing study (gold standard exam); and analysis of the specificity and sensitivity of the SDQ-PD compared with the results of the videofluoroscopic swallowing study. Positive predictive value, negative predictive value, and accuracy were calculated.

RESULTS

A total of 29 patients were included (eight women and 21 men, with a mean age of 66 ± 14 years). The SDQ-PD, a questionnaire used specifically to screen for dysphagia risk in patients with PD, had a mean of 9.39 ± 6.13 , which was below the dysphagia risk score of >11. In the dysarthria and dysphagia subscales of the UPDRS, the mean score for voice-related complaints was 0.20 ± 0.41 and 0.27 ± 0.52 for swallowing-related complaints. The EAT-10 instrument had a mean of 4.24 ± 3.43 ; that is, the questionnaire identified that the group of participants had a dysphagia risk, considering a score of >3 points as altered (\sim **Table 1**).

It is noteworthy that the patients in the present study were in the initial and intermediate stages of PD and 24.15% had already presented with aspiration/penetration (20.70% (PAS 3), and 3.45% (PAS 8)) and 68.98%, the presence of dysphagia [65.51% (DOSS 5) and 3.45% (DOSS 2)], which could not be identified only with the use of questionnaires (►Table 2). Out of 20 patients confirmed to have dysphagia through the VFSS (scale DOSS), only four presented with dysphagia risk according to the SDQ-DP. In contrast, for the EAT-10, 11 were identified as having dysphagia risk according to the questionnaire. Regarding the presence of

Table 1 Clinical and demographic characterization the patients PD (N = 29)

Variables				Min -Max
Demographic characteristics	Age		66.24 (±13.98)	(41 - 86)
	Sex	M	21 (72.41%)	
		F	8 (27.58%)	
Clinical features	Duration of illness		9.26 (±6.83)	(2 - 23)
	H&Y		2.5 (±0.5)	(1.5 - 3)
	Total UPDRS		37.06 (±13.96)	(15 - 67)
	UPDRS III - total score of motor scale		18.71 (±7.93)	(6 - 29)
	UPDRS II - speech		0.20 (±0.41)	(0 - 1)
	UPDRS II - swallowing		0.27 (±0.52)	(0 - 2)
Questionnaires	EAT-10 score		4.24 (±3.43)	(0 - 18)
	EAT-10	At risk	17 (58.62%)	
		No risk	12 (41.38%)	
	SDQ-PD score		9.39 (±6.13)	(0.5 - 23.5)
	SDQ-PD	At risk	9 (31.04%)	
		No risk	20 (68. 96%)	

Abbreviations: F, Females; M, Male; min, minimum; max, maximum; UPDRS, Unified Parkinson's Disease Rating Scale; UPDRS III-total score of motor scale, Unified Parkinson's Disease Rating Scale, subscale scores for experiences of daily living, question speech; UPDRS II-swallowing, Unified Parkinson's Disease Rating Scale, subscale scores for experiences of daily living, question speech; UPDRS II-swallowing, Unified Parkinson's Disease Rating Scale, subscale scores for experiences of daily living, question swallowing; EAT-10, Eating Assessment Tool; SDQ-PD, Swallowing Disturbance Questionnaire; VFSS, Videofluoroscopy of swallowing: H&Y. Hoehn & Yahr.

Note: The variables will be presented as number (%) and mean (\pm SD).

Table 2 Videofluoroscopy of swallowing and PAS scales and DOSS scales

Videofluoroscopy of swallowing		Levels	
PAS	Absence of penetration/aspiration	1	7 (24.13%)
		2	15 (51.72%)
	Presence of penetration/aspiration	3	6 (20.70%)
		4 - 7	0
		8	1 (3.45%)
DOSS	Functional/normal swallowing	7	1 (3.45%)
		6	8 (27.59%)
	Dysphagia	5	19(65.51%)
		4 - 3	0
		2	1 (3.45%)
		1	0

Abbreviations: DOSS, Dysphagia Outcome and Severity Scale; M, Men; PAS, Penetration-Aspiration Scale; SD, Standard deviation; W, Women. Notes: PAS: level 1 - contrast does not penetrate the laryngeal airway invasion; level 2 - penetration into the larynx above the vocal folds without residue; level 3 - contrast remains above the vocal folds, with visible residue; level 4 - contrast visualized in vocal folds with absence of residue; level 5 vocal fold contrast with residue; level 6 - contrast below the vocal folds, can perform cleaning; level 7 - contrast below the vocal folds without effective cleaning; and level 8 - silent aspiration; DOSS: level 1 - severe dysphagia, 2 - moderately severe dysphagia, 3 - moderate dysphagia, 4 - mild to moderate dysphagia, 5 - mild dysphagia, 6 - functional limits, and 7 - normal swallowing.

penetration/aspiration, the EAT-10 questionnaire identified five patients at risk of actual penetration/aspiration as seen in the VFSS results. However, the SDQ-PD identified only two patients at-risk patients who had penetration/aspiration confirmed through VFSS (►Table 3).

The SDQ-PD demonstrated a low sensitivity (28.57%) in identifying the presence of penetration/aspiration by PAS. The specificity of the SDQ-PD questionnaire was 68.18% compared with PAS. Furthermore, when comparing the SDQ-PD questionnaire with the DOSS scale, it was found to have a low sensitivity of 20% and a low specificity of 44.44%. This indicates that the SDQ-PD may not be as effective as the DOSS in correctly identifying dysphagia risk in some participants (> Table 4).

In the analysis of the sensitivity and specificity of EAT-10 for the indication of risk for penetration/aspiration (determined by PAS), a high sensitivity (71.42%) but low specificity (45.45%), was observed. However, for the identification of the presence or absence of dysphagia, the EAT-10 had low sensitivity (47.61%) and specificity (12.5%) (►Table 4). In the present study, because of low sensitivity and specificity, it

was not possible to generate a receiver operating characteristic (ROC) curve.

DISCUSSION

Our hypotheses were confirmed, and the questionnaires did not seem to be sufficient to detect dysphagia risk. However, the EAT-10 questionnaire, despite not being specific to PD, showed high sensitivity in identifying penetration/aspiration in patients in the early or intermediate stages of PD.

Symptoms of dysphagia may be less common in PD. ^{1,2} One explanation could be the impairment of the glossopharyngeal and vagus nerves during sensation, even in the early stages of the disease.^{24,25} Another aspect that may be related is that dysphagia symptoms are more evident for family members and caregivers in the advanced stages of the disease,²⁶ with the presence of several changes, such as decreased tongue strength²⁷ and laryngeal penetration and aspiration,^{3,4} which interfere with the safe ingestion of medication and food. 11

Table 3 Analysis the dysphagia risk of questionnaires EAT -10 and SDQ-PD (N = 29) and the presence of penetration/aspiration and dysphagia in the VFSS

SDQ- PD questionnaire		VFSS			
		PAS N = 29		DOSS N = 29	
		P/A	Absence in the P/A	Dysphagia	Absence in dysphagia
Dysphagia risk	At risk	2	7	4	5
	No risk	5	15	16	4
EAT-10 questionnaire	At risk	5	12	11	7
	No risk	2	10	9	2

Abbreviations: DOSS, Dysphagia Outcome and Severity Scale; EAT-10, Eating Assessment Tool; P/A, Penetration/aspiration; PAS, Penetration-Aspiration Scale; SDQ-PD, Swallowing Disturbance Questionnaire; VFSS, Videofluoroscopy of swallowing.

Parameters (%s) EAT-10 SDQ-PD PAS DOSS PAS DOSS Sensitivity 71.42% 47.61% 28.57% 20% Specificity 45.45% 12.5% 68.18% 44.44% PPV 22.22% 44.44% 29.41% 58.82% NPV 83.33% 8.3% 75% 20% Accuracy 51.72% 37.93% 58.62% 27.58%

Table 4 Comparison of sensitivity and specificity with the videofluoroscopy swallowing exam using the PAS and DOSS

Abbreviations: DOSS, Dysphagia Outcome and Severity Scale; EAT-10, Eating Assessment Tool; NPV, Negative predictive value; PAS, Penetration-Aspiration Scale; PPV, Positive predictive value; SDQ-PD, Swallowing Disturbance Questionnaire.

When analyzing the sensitivity and specificity of EAT-10 in the context of identifying penetration/aspiration (PAS), the sensitivity was high (71.42%), but the specificity and accuracy were low. Low sensitivity (47.61%) and low specificity (12.5%) were found for the detection of dysphagia risk. The data of the present study confirm the results of a previous study that aimed to evaluate the ability of EAT-10 to predict the risk of penetration/aspiration in patients with PD.

Our findings differ from those of studies conducted with other diseases, such as chronic obstructive pulmonary disease and amyotrophic lateral sclerosis, that showed a correlation between EAT-10 scores and the presence of dysphagia during FEES. ^{28,29} This might be related to the disease's pathology, with preservation of sensory pathways, in which patients are able to report changes in swallowing from the early stages of the disease.

Studies have reported that the EAT-10 has diagnostic accuracy; however, there is no consensus regarding the cutoff score. Some studies^{30,31} point out that a cutoff score of 2 would demonstrate greater sensitivity of the EAT-10, but would also increase the rate of diagnostic errors. Belafsky et al.¹⁶ the authors of the EAT-10, and other researchers have suggested the use of a cutoff score of 3 because it presents a better balance between sensitivity and specificity, and greater diagnostic accuracy.^{16,32} In a meta-analysis performed by Zhang et al.,³² a cutoff score between 2 and 3 was suggested.

The SDQ-PD demonstrated low sensitivity and specificity, with a cutoff score >11 when considering penetration/ aspiration and the presence of dysphagia. These results differ from the literature, which suggests that the SDQ-PD is a questionnaire capable of identifying dysphagia risk in the PD population with good sensitivity (80.5%) and specificity (81.3%). In contrast, we revealed a sensitivity of 28.57% and specificity of 68.18% in a very similar sample to the study conducted by Manor et al.⁷ However, it is important to note that Manor et al.⁷ used FEES as the standard evaluation and did not use a scale to assess the presence of penetration/aspiration. It is essential to highlight that the presence of penetration/aspiration during swallowing without residue (PAS 2, 4, and 6) was not identified by FEES; however, VFSS provided greater accuracy in detecting these occurrences.^{2,23}

Another important factor concerning the SDQ-PD is that, in Brazil, the questionnaire underwent translation and cross-

cultural adaptation.¹⁸ However, this has not been validated for Brazilian population. In another study conducted among native Portuguese speakers, the SDQ-DP was translated and adapted across cultures. However, the authors validated only the SDQ-PD construct.³³

Our patients reported few complaints related to swallowing and voice in the UPDRS subscales, similar to a previous study by Nienstedt et al.³ which highlighted that patients with PD may not respond to or notice changes in swallowing due to the lack of more focused questions on this topic. Another validated questionnaire for dysphagia screening in PD is the Munich Dysphagia Test – Parkinson's Disease³⁴; however, its use is extensive and time-consuming and is not used in routine neurological assessment.³⁴ In a study conducted by Buhmann et al,³⁵ the Monique test was found to be ineffective in detecting aspiration risk and laryngeal penetration.

Studies have also highlighted the need for new questionnaires to help identify the risk of dysphagia in patients with PD, as there are significant differences in the prevalence of dysphagia between studies using instrumental assessments and those relying solely on questionnaires^{5,36} Cosentino et al.³⁶ attempted to establish a consensus on the use of evaluations and screenings in patients with PD, and found that few instruments were submitted for cross-cultural translation and validation, which may have affected the present results.³⁶ They also highlighted the need to provide accurate screening tools for the risk of dysphagia in PD to neurologists, particularly for patients in the early clinical stages, so that these patients can be referred early for clinical and instrumental evaluation of swallowing.³⁶

Another possibility is to develop multicenter studies with different cutoff scores for the SDQ-PD based on the disease stage. This approach could increase the sensitivity and specificity of the test in patients with early- and intermediate-stage PD. We demonstrated that the EAT-10 is a good instrument to identify signs of aspiration and penetration and can be used in clinical practice as a screening tool for dysphagia risk in patients with PD.

The Swallowing Quality of Life Questionnaire can be used in patients with dysphagia. ^{37,38} This instrument specifically assesses the impact of swallowing changes on the quality of life and can be used by a multidisciplinary team to assess how changes in swallowing physiology affect patients.

Although it is not possible to request a VFSS for early diagnosis of all patients with PD in the Brazilian health system, it is important to perform an accurate clinical assessment. The speech therapist is a qualified professional who performs clinical swallowing evaluations.³⁹ We used the VFSS, which is the gold standard for instrumental evaluation in detecting dysphagia, 2,11 and found that questionnaires alone do not demonstrate the risk of dysphagia like an instrumental examination.³⁶ In the absence of instrumental examinations, it is important to actively search for patients with PD in the early and intermediate stages. In addition, discussions within a multidisciplinary team are crucial to ensure that patients are promptly referred for dysphagia evaluation and treatment.

Our study had some limitations, such as the lack of distribution of the different levels of dysphagia scales used, the PAS, and the DOSS.

In conclusion, compared with the VFSS, the SDQ-PD did not show sufficient sensitivity and specificity in detecting penetration/aspiration and dysphagia in patients with PD in the early and middle stages. In contrast, the EAT-10 showed high sensitivity in identifying the risk of penetration/aspiration, although this was with low specificity. However, the EAT-10 did not prove to be a good tool to indicate the presence of dysphagia.

Authors' Contributions

AP: conceptualization, data curation, formal analysis, funding acquisition, investigation, methodology, project administration, resources, software, validation, visualization, writing - original draft, writing - review & editing; FPC: data curation, investigation, methodology, resources, software, validation, visualization, writing - original draft, writing - review & editing; VNS: formal analysis, methodology, resources, software, validation, visualization, writing - original draft, writing - review & editing; CGSS: investigation, methodology, resources, validation, visualization, writing - original draft; LFM: conceptualization, data curation, formal analysis, funding acquisition, investigation, methodology, project administration, resources, software, supervision, validation, visualization, writing - original draft, writing - review & editing.

Conflict of Interest

There is no conflict of interest to declare.

Acknowledgments

oordination for the Improvement of Higher Education Personnel, CAPES for funding this study.

References

- 1 Simons JA. Swallowing Dysfunctions in Parkinson's Disease. Int Rev Neurobiol 2017;134:1207-1238. Doi: 10.1016/bs.irn.2017.
- 2 Suttrup I, Warnecke T. Dysphagia in Parkinson's Disease. Dysphagia 2016;31(01):24-32. Doi: 10.1007/s00455-015-9671-9

- 3 Nienstedt JC, Bihler M, Niessen A, et al. Predictive clinical factors for penetration and aspiration in Parkinson's disease. Neurogastroenterol Motil 2019;31(03):e13524. Doi: 10.1111/nmo.13524
- 4 Pflug C, Bihler M, Emich K, et al. Critical Dysphagia is Common in Parkinson Disease and Occurs Even in Early Stages: A Prospective Cohort Study. Dysphagia 2018;33(01):41-50. Doi: 10.1007/ s00455-017-9831-1
- 5 Kalf JG, de Swart BJM, Bloem BR, Munneke M. Prevalence of oropharyngeal dysphagia in Parkinson's disease: a meta-analysis. Parkinsonism Relat Disord 2012;18(04):311-315. Doi: 10.1016/j. parkreldis.2011.11.006
- 6 Schlickewei O, Nienstedt JC, Frank U, et al. The ability of the eating assessment tool-10 to detect penetration and aspiration in Parkinson's disease. Eur Arch Otorhinolaryngol 2021;278(05): 1661–1668. Doi: 10.1007/s00405-020-06377-x
- 7 Manor Y, Giladi N, Cohen A, Fliss DM, Cohen JT. Validation of a swallowing disturbance questionnaire for detecting dysphagia in patients with Parkinson's disease. Mov Disord 2007;22(13): 1917-1921. Doi: 10.1002/mds.21625
- 8 Evatt ML, Chaudhuri KR, Chou KL, et al. Dysautonomia rating scales in Parkinson's disease: sialorrhea, dysphagia, and constipation-critique and recommendations by movement disorders task force on rating scales for Parkinson's disease. Mov Disord 2009;24(05):635-646. Doi: 10.1002/mds.22260
- 9 Branco LL, Trentin S, Augustin Schwanke CH, Gomes I, Loureiro F. The Swallowing Clinical Assessment Score in Parkinson's Disease (SCAS-PD) Is a Valid and Low-Cost Tool for Evaluation of Dysphagia: A Gold-Standard Comparison Study. J Aging Res 2019; 2019:7984635. Doi: 10.1155/2019/7984635
- 10 Gong S, Gao Y, Liu J, et al. The prevalence and associated factors of dysphagia in Parkinson's disease: A systematic review and metaanalysis. Front Neurol 2022;13:1000527
- 11 Umemoto G, Furuya H. Management of Dysphagia in Patients with Parkinson's Disease and Related Disorders. Intern Med 2020;59 (01):7-14
- 12 Daniel SE, Lees AJ. Parkinson's Disease Society Brain Bank, London: overview and research. J Neural Transm Suppl 1993; 39:165-172
- 13 Hoehn MM, Yahr MD. Parkinsonism: onset, progression and mortality. Neurology 1967;17(05):427-442. Doi: 10.1212/wnl. 17.5.427
- 14 Carod-Artal FJ, Martínez-Martin P, Kummer W, Ribeiro LdaS. Psychometric attributes of the SCOPA-COG Brazilian version. Mov Disord 2008;23(01):81-87. Doi: 10.1002/mds.21769
- 15 Martínez-Martín P, Gil-Nagel A, Gracia LM, Gómez JB, Martínez-Sarriés J, Bermejo FThe Cooperative Multicentric Group. Unified Parkinson's Disease Rating Scale characteristics and structure. Mov Disord 1994;9(01):76-83. Doi: 10.1002/mds.870090112
- 16 Belafsky PC, Mouadeb DA, Rees CJ, et al. Validity and reliability of the Eating Assessment Tool (EAT-10). Ann Otol Rhinol Laryngol 2008;117(12):919-924. Doi: 10.1177/000348940811701210
- 17 Gonçalves MIR, Remaili CB, Behlau M. Cross-cultural adaptation of the Brazilian version of the Eating Assessment Tool - EAT-10. CoDAS 2013;25(06):601-604. Doi: 10.1590/S2317-17822013. 05000012
- 18 Ayres A, Ghisi M, Rieder Cde M, Manor Y, Olchik MR. Translation and cultural adaptation of swallowing disturbance questionnaire for brazilian portuguese. Rev CEFAC 2016;18:828-834. Doi: 10.1590/1982-021620161844016
- Martin-Harris B, Brodsky MB, Michel Y, et al. MBS measurement tool for swallow impairment-MBSImp: establishing a standard. Dysphagia 2008;23(04):392-405. Doi: 10.1007/s00455-008-
- 20 Cichero JAY, Lam P, Steele CM, et al. Development of International Terminology and Definitions for Texture-Modified Foods and

- Thickened Fluids Used in Dysphagia Management: The IDDSI Framework. Dysphagia 2017;32(02):293–314. Doi: 10.1007/s00455-016-9758-y
- 21 O'Neil KH, Purdy M, Falk J, Gallo L. The Dysphagia Outcome and Severity Scale. Dysphagia 1999;14(03):139–145
- 22 Rosenbek JC, Robbins JA, Roecker EB, Coyle JL, Wood JL. A penetration-aspiration scale. Dysphagia 1996;11(02):93–98. Doi: 10.1007/BF00417897
- 23 Borders JC, Brates D. Use of the Penetration-Aspiration Scale in Dysphagia Research: A Systematic Review. Dysphagia 2020;35 (04):583–597. Doi: 10.1007/s00455-019-10064-3
- 24 Mu L, Sobotka S, Chen J, et al; Arizona Parkinson's Disease Consortium. Parkinson disease affects peripheral sensory nerves in the pharynx. J Neuropathol Exp Neurol 2013;72(07):614–623. Doi: 10.1097/NEN.0b013e3182965886
- 25 Mu L, Sobotka S, Chen J, et al; Arizona Parkinson's Disease Consortium. Altered pharyngeal muscles in Parkinson disease. J Neuropathol Exp Neurol 2012;71(06):520–530
- 26 Fabbri M, Coelho M, Abreu D, et al. Dysphagia predicts poor outcome in late-stage Parkinson's disease. Parkinsonism Relat Disord 2019;64:73–81. Doi: 10.1016/j.parkreldis.2019.02.043
- 27 Pitts LL, Cox A, Morales S, Tiffany H. A Systematic Review and Metaanalysis of Iowa Oral Performance Instrument Measures in Persons with Parkinson's Disease Compared to Healthy Adults. Dysphagia 2022;37(01):99–115. Doi: 10.1007/s00455-021-10254-y
- 28 Plowman EK, Tabor LC, Robison R, et al. Discriminant ability of the Eating Assessment Tool-10 to detect aspiration in individuals with amyotrophic lateral sclerosis. Neurogastroenterol Motil 2016;28(01):85–90. Doi: 10.1111/nmo.12700
- 29 Regan J, Lawson S, De Aguiar V. The Eating Assessment Tool-10 Predicts Aspiration in Adults with Stable Chronic Obstructive Pulmonary Disease. Dysphagia 2017;32(05):714–720. Doi: 10.1007/s00455-017-9822-2
- 30 Giraldo-Cadavid LF, Gutiérrez-Achury AM, Ruales-Suárez K, et al. Validation of the Spanish Version of the Eating Assessment Tool-10 (EAT-10spa) in Colombia. A Blinded Prospective Cohort Study. Dysphagia 2016;31(03):398–406. Doi: 10.1007/s00455-016-9690-1
- 31 Rofes L, Arreola V, Mukherjee R, Clavé P Sensitivity and specificity of the Eating Assessment Tool and the Volume-Viscosity Swallow

- Test for clinical evaluation of oropharyngeal dysphagia. Neurogastroenterol Motil 2014;26(09):1256–1265. Doi: 10.1111/nmo.12382
- 32 Zhang P-P, Yuan Y, Lu D-Z, et al. Diagnostic Accuracy of the Eating Assessment Tool-10 (EAT-10) in Screening Dysphagia: A Systematic Review and Meta-Analysis. Dysphagia 2022. Doi: 10.1007/s00455-022-10486-6
- 33 Cardoso AR, Guimarães I, Santos H, et al. Cross-cultural adaptation and validation of the Swallowing Disturbance Questionnaire and the Sialorrhea Clinical Scale in Portuguese patients with Parkinson's disease. Logoped Phoniatr Vocol 2021;46(04):163–170. Doi: 10.1080/14015439.2020.1792979
- 34 Simons JA, Fietzek UM, Waldmann A, Warnecke T, Schuster T, Ceballos-Baumann AO. Development and validation of a new screening questionnaire for dysphagia in early stages of Parkinson's disease. Parkinsonism Relat Disord 2014;20(09):992–998. Doi: 10.1016/j.parkreldis.2014.06.008
- 35 Buhmann C, Flügel T, Bihler M, et al. Is the Munich dysphagia Test-Parkinson's disease (MDT-PD) a valid screening tool for patients at risk for aspiration? Parkinsonism Relat Disord 2019; 61:138–143. Doi: 10.1016/j.parkreldis.2018.10.031
- 36 Cosentino G, Avenali M, Schindler A, et al. A multinational consensus on dysphagia in Parkinson's disease: screening, diagnosis and prognostic value. J Neurol 2022;269(03):1335–1352. Doi: 10.1007/s00415-021-10739-8
- 37 Felipini LMG, da Silva NR, Berretin-Felix G, dos Santos CF. Tradução e adaptação transcultural para a língua portuguesa do Brasil dos questionários "Quality of Life in Swallowing Disorders (SWAL-QOL)" e "Quality of Care in Swallowing Disorders (SWAL-CARE)" para idosos com disfagia neurogênica. Tradterm. 2022;41:100–126. Doi: 10.11606/issn.2317-9511.v41p100-126
- 38 McHorney CA, Bricker DE, Kramer AE, et al; Conceptual Foundation and Item Development. The SWAL-QOL outcomes tool for oropharyngeal dysphagia in adults: I. Conceptual foundation and item development. Dysphagia 2000;15(03):115–121. Doi: 10.1007/s004550010012
- 39 Kiyani SA, Butt AK. Dysphagia; Awareness & Knowledge of Medical Practitioners and Understanding of Role of SLP in its Assessment & Management. Journal Riphah College of Rehabilitation Sciences. 2014;2(01):25–29