








The Influence of Schmorl Nodes in Spinal Sagittal Balance in Young Adults

A Influência dos nódulos de Schmorl no equilíbrio sagital de adultos jovens

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Abstract

Objectives The present study aims to characterize the spinal balance (SB) in young adults with Schmorl nodes (SN).

Methods A cross-sectional study was conducted on a sample of 47 young adults. Lumbar magnetic resonance imaging (MRI) was used to divide the patients into an SN group and a control group. Standing full spine radiographs were used to compare the spinopelvic SB parameters between groups: sagittal vertical axis, thoracic kyphosis, lumbar lordosis (LL), pelvic incidence (PI), pelvic tilt (PT), and sacral slope (SS).

Results The LL and SS values were significantly lower in patients with SN when compared with the control group (54.5° versus 64.3°; 36.2° versus 41.4°, respectively). No significant differences were observed for the other parameters. Significant correlations were found in both groups between LL and SS; PI and PT; and PI and SS.

Conclusions Young adults with SN have associated SB modifications, particularly lower LL and SS values, when compared with a control group. This flatter profile resembles that observed in patients with lower back pain and early disc pathology. We believe that SNs are relevant clinical findings that should prompt the study of the SB of a

Keywords

- ▶ lordosis
- ▶ lumbar vertebrae
- ▶ spinal fusion
- ▶ young adult

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Resumo**Palavras-chave**

- ▶ lordose
- ▶ vértebras lombares
- ▶ fusão vertebral
- ▶ adulto jovem

patient, as it may uncover variations associated with early disc degeneration.

Level of Evidence III

Objetivos O presente estudo tem como objetivo caracterizar o equilíbrio sagital (SB, na sigla em inglês) espinhal em adultos jovens com nódulos de Schmorl (NS).

Métodos Este é um estudo transversal de uma amostra composta por 47 adultos jovens. Ressonância magnética (RM) lombar foi usada para separar os pacientes em um grupo com NS e um grupo controle. Radiografias da coluna vertebral em pé foram usadas para comparar os parâmetros espinopélvicos do SB entre os grupos: eixo vertical sagital, cifose torácica, lordose lombar (LL), incidência pélvica (PI, na sigla em inglês), inclinação pélvica (PT, na sigla em inglês) e inclinação sacral (SS, na sigla em inglês).

Resultados Os valores de LL e SS foram significativamente menores nos pacientes com NS em comparação com o grupo controle (54,5° versus 64,3°; 36,2° versus 41,4°, respectivamente). Não foram observadas diferenças significativas nos demais parâmetros. Os dois grupos apresentaram correlações significativas entre LL e SS, PI e PT e PI e SS.

Conclusões Adultos jovens com NS apresentam modificações associadas ao SB, principalmente valores menores de LL e SS, em comparação com o grupo controle. Este perfil mais plano assemelha-se ao observado em pacientes com lombalgia e patologia discal em estágio inicial. Acreditamos que o NS seja um achado clínico relevante que deve levar ao estudo do SB de um paciente por poder revelar variações associadas aos primeiros estágios de degeneração discal.

Nível de Evidência III**Introduction**

The ability to stand erect is the result of a well-balanced articulation of the spinopelvic complex.^{1,2} The sagittal balance (SB) describes these morphological and positional characteristics of the spine and the pelvis in the sagittal plane.^{2,3} Several parameters of the SB interact with each other in well-studied, predictable ways.^{1,4-6} The pelvic incidence (PI), being static and specific to each individual, is considered a fundamental parameter in determining the shape of the lumbothoracic spine.⁷⁻⁹ Lower values of PI tend to lead to a reduction of both lumbar lordosis and thoracic kyphosis (and vice versa). However, the pelvis also allows for positional adjustment. When the spine is rigid, the only mechanism for correcting sagittal imbalance is rotating the pelvis in retroversion or anteversion. The two positional pelvic parameters, sacral slope (SS) and pelvic tilt (PT), have an arithmetical relationship with the PI through the equation $PI = PT + SS$.^{1,6} Therefore, the maximum pelvic retroversion ($SS = 0$ and $PT = PI$) is limited by the value of PI. Several pathologies and anatomical variations may lead to a sagittal imbalance of the spine while, on the other hand, deregulation of the SB is considered an important cause of low back pain and a major mechanical factor in degenerative progression.^{1,10,11}

Schmorl nodes (SNs), first described in 1927, are the herniation of nucleus pulposus into the subchondral bone of the vertebral endplate.¹² Prevalence rates in the literature vary greatly, ranging from 3.8 to 77%.¹³⁻¹⁷ They predominate in men and present a high heredity, frequently affecting the lower thoracic and upper lumbar spines.^{12,15,18,19} The pathophysiology of SNs is still uncertain, and they are usually considered

incidental and idiopathic findings in the clinical practice. Classically, this herniation was believed to occur during the osteochondral ossification.^{12,17} Several other etiologies have been proposed since, including traumatic, congenital, developmental, metabolic, and genetic.^{14,17,20,21} The formation of SNs has also been associated with smoking and vascular diseases.²² Recently, Plompt et al.¹⁴ showed a correlation between SNs and vertebral morphology.^{14,21} They observed that larger and more circular vertebral bodies, with shorter pedicles, appear to increase the risk of disc herniation into the vertebral endplate.

While little clinical relevance was given to the presence of SNs in the past, they have been under focus in the recent literature. Several reports and studies have associated SNs to low back pain, disc degeneration, Modic changes, vacuum disc phenomenon, and higher risk of vertebral fractures.^{16,19,22-24} To the best of our knowledge, the relationship between SN and SB has not been explored before. Thus, it seemed imperative to understand the characteristics and correlations of the SB in young adults with SNs. Therefore, the present study aims to characterize and compare the spinopelvic SB of a sample of young adults with SNs with a control group, to highlight possible changes, and to promote scientific investigation on this subject.

Materials and Methods

A cross-sectional study was performed on 47 young adults. We consecutively included patients between 18 and 45 years old who required a consultation with an orthopedic spine surgeon for low back pain and had an available lumbar

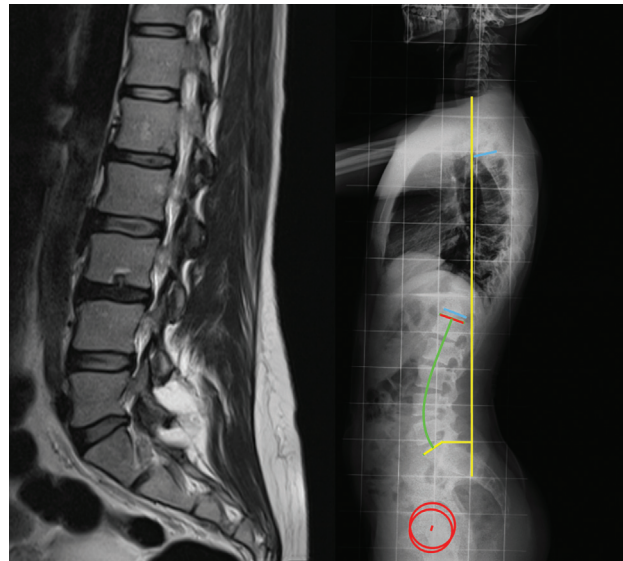


Fig. 1 Example of a patient with Schmorl nodes, seen in magnetic resonance imaging (*left*); measurement of the spinal and pelvic parameters on a lateral standing full-spine radiograph (*right*).

magnetic resonance imaging (MRI) and standing full spine radiographs, from 2012 to 2016. Subjects with a history of spinal degenerative disease, trauma, infection, tumor, previous spinal surgery, structural congenital deformities, and hip pathology were excluded from the study.

Data was retrospectively acquired from medical records of the patients. Information on age, height, weight, and body mass index (BMI) was collected. All radiological data was blindly evaluated. Magnetic resonance imaging exams were evaluated by two observers, with discrepancies being settled by consensus. Radiograph measurements were performed twice by one author, with the average value being used. The presence of SN was assessed on sagittal T2 weighted MRI (**► Fig. 1**). Then, the patients were divided into a study group with SN present in the MRI ($n=21$) and a control group ($n=26$). Radiographic measurements were performed in lateral standing full-spine radiographs of both groups, acquired according to the regular protocol. The following spinal and pelvic parameters were analyzed (**► Fig. 1**):

- *Sagittal vertical axis* (SVA), defined as the horizontal offset from the posterosuperior corner S1 to the vertical line passing through the center of the C7 vertebral body, in millimeters.
- *Thoracic kyphosis* (TK), defined as the angle between the superior endplate of T4 and the inferior endplate of T12.
- *Lumbar lordosis* (LL), defined as the angle between the superior endplate of L1 and the superior endplate of S1.
- *Pelvic incidence* (PI), defined as the angle between the perpendicular to the sacral endplate and the line that connects its midpoint to the femoral head axis.
- *Pelvic tilt* (PT), defined as the angle between the line that connects the midpoint of the sacral plate to the femoral head axis and the vertical plane.
- *Sacral slope* (SS), defined as the angle between the sacral endplate and the horizontal plane.

The measurement of the SB parameters was performed using Surgimap software (Nemaris Inc., New York, NY, USA). Statistical analysis was performed using IBM SPSS Statistics for Windows, version 22.0 (IBM Corp., Armonk, NY, USA). Parametric tests (Student independent *t*-tests) were used to compare the parametric scale variables of the two groups. The Pearson correlation coefficient was used to access the strength of the linear relationship between parametric scale variables. The level of significance for all statistical tests was set at $p < 0.05$. The confidentiality of the data was guaranteed, with it only being accessible by the main investigators. Ethical approval for the present study was obtained from the institutional Ethics Committee, and informed consent was not required for the present study.

Results

A total of 47 patients were included in the study, with 72.3% females ($n=34$). The mean age was 28.2 years old, and the mean body mass index (BMI) was 22.9 kg/m². No significant differences between groups were found regarding gender, age or BMI (**► Table 1**).

Regarding the measured SB parameters: the average angle of LL was 54.5° in the SN group and 64.3° in the control ($p < 0.001$); the average SS was 36.2° for the SN group and 41.4° for the control ($p = 0.016$) (**► Table 2**). No significant differences between groups were observed for SVA, TK, PI or PT (**► Fig. 2**).

In both the control and SN groups, significant and moderate to strong positive correlations were found between the LL-SS ($r = 0.707$ and $r = 0.540$, respectively), PI-PT ($r = 0.744$ and $r = 0.812$, respectively) and PI-SS ($r = 0.812$ and $r = 0.672$, respectively). In the SN group, significant positive correlations between SVA-TK ($r = 0.756$), SVA-LL ($r = 0.769$), TK-LL ($r = 0.896$), and LL-PI angles ($r = 0.380$) were also observed.

Table 1 Comparison of demographic data between groups

	SN group (n = 21)	Control group (n = 26)	p-value
Age (years old)*	28.1 (6.7)	28.3 (7.7)	0.935
BMI (kg/m ²)*	21.8 (7.1)	23.8 (3.7)	0.332
Gender (females)	66.7% (n = 14)	76.9% (n = 20)	0.435

Abbreviations: BMI, body mass index; SD, standard deviation; SN, Schmorl nodes.

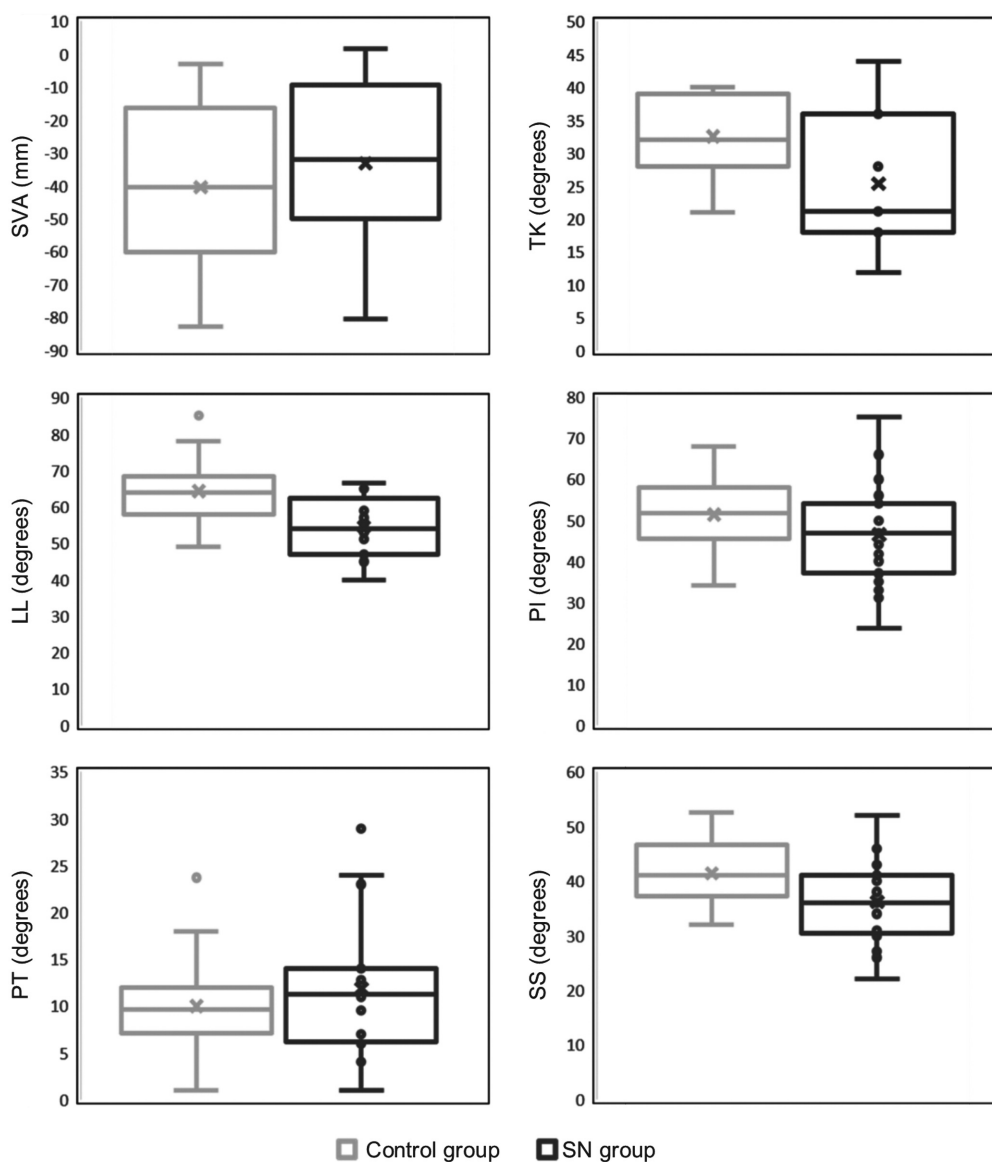
*Mean (SD) for age and BMI.

Table 2 Comparison of sagittal balance parameters between groups

Measure	SN group	Control group	p-value
SVA	- 33.1° (28.3)	- 40.3° (24.1)	0.363
TK	25.3° (11.3)	32.6° (6.1)	0.096
LL	54.5° (8.2)	64.3° (8.2)	< 0.001
PI	46.5° (12.5)	51.4° (9.1)	0.143
PT	11.9° (7.1)	10.0° (5.5)	0.335
SS	36.2° (7.7)	41.4° (6.1)	0.016

Abbreviations: LL, lumbar lordosis; PI, pelvic incidence; PT, pelvic tilt; SD, standard deviation; SN, Schmorl nodes; SS, sacral slope; SVA, sagittal vertical axis; TK, thoracic kyphosis.

Mean (SD) values in degrees for all parameters.

**Fig. 2** Representation of sagittal balance parameters in the control versus SN groups. Lumbar lordosis and sacral slope were significantly different between groups. SN – Schmorl nodes, SVA – sagittal vertical axis, TK – thoracic kyphosis, LL – lumbar lordosis, PI – pelvic incidence, PT – pelvic tilt, SS – sacral slope.

Discussion

In the present study, the LL and the SS were significantly lower in patients with SN. This finding reveals that these patients have a flatter spine and a more vertical pelvis. These characteristics show a trend toward the sagittal profile described in patients with low back pain, disc herniation, and degeneration – a straighter spine with a decrease in both lumbar lordosis and sacral slope (flat back).^{1,6,11,25} Although the SB changes found were minor (9.8° in LL and 5.2° in SS), these can be early changes that might have a greater clinical impact later.

In both groups, positive strong correlations were found for PI-PT and PI-SS, agreeing with the equation $PI = PT + SS$.^{1,6} Additionally, an LL-SS correlation was also present in both groups, a finding well described in the literature.⁶ Some parameters were found to be significantly correlated only in the SN group: SVA-TK, SVA-LL, TK-LL, and LL-PI. The strong associations between these parameters indicate that the sagittal profile identified is indeed characteristic to the whole SN group. Nonetheless, no significant difference was found in the SVA between the two groups, suggesting that all patients were able to maintain a well-balanced spine through compensatory mechanisms.

With our study design, no assumptions on the causality between SB and SN are possible. Although SNs may have a multifactorial etiology, they are assumed to develop early in life, during the osteochondral ossification, and are often present in subjects with otherwise healthy and well-balanced spines.^{13,17,19} Barrey et al.¹¹ showed that younger patients with disc lesions had lower values of PI, presuming that the SB influences the development of disc herniation or degeneration. Since no significant differences in the PI were found between the two groups in our sample, it may imply that SNs affect the SB and not vice versa.

Several studies report that there is an association between SN and disc pathology. Although there must be shared etiologies regarding disc disease, the resulting horizontalization of intervertebral discs increases mechanical loading and disc pressure, possibly leading to an increased risk of early disc degeneration.^{1,6,11} Hence, we believe the SB changes observed in the SN group may partially explain the link between SNs and disc pathology.

The main limitation of the present study is its small sample size (due to the strict inclusion and exclusion criteria). Despite the absence of statistically significant gender differences between groups, the increased prevalence of female patients in the control group may be a bias regarding the sagittal balance measurements. Also, the influence of the number or localization of the SNs was not evaluated. Furthermore, our sample was composed by symptomatic patients (which could introduce a bias), and as the background patient data collected was limited, other potential factors associated with disc disease (such as smoking, heavy labor or bone fragility) were not considered.

Conclusions

The study of SB is an important tool to understand the mechanical behavior of the spine and the pathophysiology

of several spine diseases. In the present study, patients with SN presented a particular SB profile, characterized by a decreased lumbar lordosis and sacral slope when compared with a control group. Our results show that SNs may be relevant clinical findings, which may signal patients under risk of having SB variations associated with earlier disc degeneration and, therefore, should trigger a SB assessment. Thus, further studies are necessary to fully understand the relationships between SNs and SB, as well as their role in other spine diseases.

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Conflict of Interests

The authors have no conflicts of interest to declare.

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