

# Surgical outcome in patients with cervical ossified posterior longitudinal ligament: A single institutional experience

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## ABSTRACT

**Objective:** Ossification of the posterior longitudinal ligament (OPLL) is a complex multi-factorial disease process having both metabolic and biomechanical factors. The role of surgical intervention as well as the choice of approach whether anterior or posterior is ambiguous. The objective of this study was to assess the surgical outcome and post operative functional improvement in patients with cervical OPLL at a tertiary care centre.

**Patients and Methods:** This prospective study included 63 patients of cervical OPLL who underwent either anterior and/or posterior surgeries in Department of Neurosurgery, Nizam's Institute of Medical Sciences, Hyderabad between June 2009 to May 2011. Patient's data including age, sex, pre and post operative functional status, radiographic findings and OPLL subtypes were recorded and analyzed over a follow up ranging up to minimum two years.

**Results:** The mean age of the patients was 51.1 (range 30-80 years) involving 14 women and 49 men. Out of 63 patients, 14 patients underwent surgery by anterior approach (corpectomy and fusion) and all of them improved ( $P = 0.52$ ). 49 patients underwent surgery by posterior approach where decompressive laminectomy was performed in 40, laminectomy with instrumentation was done in 5, laminoplasty was done in 3 and 1 patient underwent both anterior and posterior surgeries. Of those who underwent posterior surgery, 40 patients improved, 7 remained the same as their preoperative status (who were having signal intensity changes on T2W MRI) and 2 patients deteriorated in the immediate post operative period and then showed gradual improvement. All the patients were followed up for 24 months. The mean pre-operative Nurick grade was 2.82 which later on improved to 2.03 post surgery ( $P < 0.05$ ). Minor complications included wound infections in two patients (1.26%).

**Conclusions:** Anterior cervical decompression and reconstruction is a safe and appropriate treatment for cervical spondylitic myelopathy in the setting of single or two level OPLL. Laminectomy or laminoplasty is indicated in patients with preserved cervical lordosis having three or more levels of involvement. Younger patients with good pre operative functional status and less than 2 levels of involvement have better outcome following anterior surgery.

**Key words:** Nurick grade, ossified posterior longitudinal ligament cervical spine, surgical outcome

## Introduction

Ossification of the posterior longitudinal ligament (OPLL) is a disorder of progressive ectopic calcification and

ossification of the cervical and thoracic segments of the posterior longitudinal ligament (PLL) that results in a compressive myelopathy and/or radiculopathy. Its prevalence in Japanese and East Asian countries has ranged from 1.9 to 4.3%, while in white populations it has ranged from 0.01 to 1.7%.<sup>[1,2]</sup> Although many clinical features of cervical OPLL are similar to those of cervical spondylotic myelopathy or cervical disc herniation, it also has several unique characteristics. Management of OPLL can involve either surgical or conservative treatments. Patients with severe progressive myelopathy due to OPLL have generally been considered definitive candidates for surgical treatment. We present a single institution experience in the surgical management of this complex entity where the overall functional outcome depends on variety of factors.

### Access this article online

#### Quick Response Code:



#### Website:

www.asianjns.org

#### DOI:

10.4103/1793-5482.146602

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## Patients and Methods

### Study design

This prospective study included 63 patients with Cervical OPLL between June 2009 to May 2011 admitted in Department of Neurosurgery, Nizam's Institute of Medical Sciences, Hyderabad. Cervical OPLL was defined as abnormal radio-opacity along the posterior margins of the vertebral bodies on lateral radiographic views/magnetic resonance imaging (MRI)/computed tomography (CT) scans.

### Inclusion criteria

Patients who fulfilled the following criteria were included in the study:

1. All patients with radiologically proven cervical OPLL along with clinical signs of myelopathy
2. All patients with minimum follow-up of 2 years.

### Exclusion criteria

1. Patients with inadequate follow-up
2. Patients with spondylotic myelopathy not accounting to OPLL.

### Clinical evaluation

Apart from age, gender, occupation, socio-economic status, the duration of sensory and motor symptoms and the presence or absence of autonomic dysfunction was noted in all the patients. Neurological assessment included examination of tone and spasticity in upper and lower limbs (as per Modified Ashworth Scale). Functional disability was assessed as per the Nurick grade. All these grading systems were employed pre-operatively and post-operatively at the time of discharge and at all subsequent follow ups. The follow up period varied from 12 to 24 months.

### Imageological assessment

#### X-ray

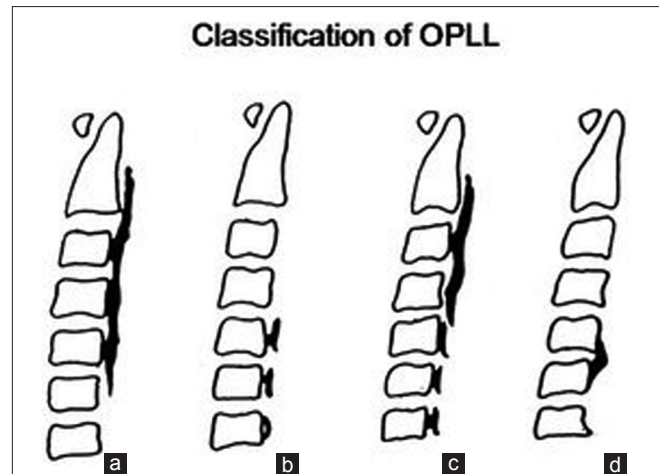
All patients underwent X-ray of cervical spine in AP and lateral view. Wherever indicated dynamic lateral radiograph with flexion and extension studies were obtained. OPLL was identified on plain X-ray as an abnormal radio opacity along the posterior margins of the vertebral bodies (not only involving the disc spaces).

#### MRI

All patients were advised MRI of cervical spine. MR imaging was conducted on a 1.5 T-superconducting unit. Imaging included T1-weighted, T2-weighted, and spin-echo sagittal sequences using a 22 cm field of view, a 4 mm thickness with a 1-mm gap, and a 192 × 256 matrix. Various patterns of OPLL including conical or mushrooming types were identified on axial cuts along with T2-weighted cord signal changes on sagittal images. CT cervical spine was done as and when necessary.

### Characterization of OPLL

We used the classification of the Hirabayashi *et al.*<sup>[1]</sup> to characterize the varies sub-types of OPLL [Figure 1].



**Figure 1:** Types of OPLL: continuous (a), segmental (b), mixed (c), focal (d)

### Diagnosis of OPLL

The diagnosis of cervical OPLL was made if following three conditions were met.

1. Clinical symptoms consistent with cervical cord compression were responsible for presentation.
2. MR imaging revealed evidence of cervical canal stenosis not predominantly due to any other degenerative spinal conditions.
3. X-ray/MRI/CT scan demonstrated that the cord compression was primarily due to a calcified mass consistent with the morphology of OPLL.

### Management

Decisions regarding the type of surgery were based on clinical and established radiological findings.<sup>[3]</sup> Surgery was advised for patients with severe cervical myelopathy caused by OPLL. Cervical radiculopathy or neck pain alone was not considered to be surgical indications. In case of multi-segmental (>3 segments) involvement with preserved lordosis, posterior decompression (laminectomy) was performed. Patients with multilevel involvement and loss of lordosis or straightening were fused by lateral mass screw and rods after laminectomy, while two or lesser levels of involvement were approached anteriorly (corpectomy with fusion).<sup>[4,5]</sup>

### Surgical procedure

#### Posterior approach

After induction of general anesthesia, the patient was intubated by the intubating laryngeal mask airway (ILMA) or awake bronchoscopic intubation technique. Later, the patient was placed in the prone position in a horse-shoe head rest with an indwelling bladder catheter. The abdomen was decompressed to avoid excessive venous congestion and epidural bleeding. After skin prepping and draping, a midline incision was given from the sub-occipital region to T1 level (depending on the extent of laminectomy). Sub-perioosteal dissection of the paraspinal muscles was performed. Laminectomy was

performed by using rongeurs (or) a high-speed drill. Thecal sac was adequately decompressed. Instrumentation by lateral mass screws and rods was done wherever indicated by Magerl's technique under fluoroscopic guidance. Wound closure was done in layers. Post-operatively, patients were mobilized by physiotherapists with cervical orthoses at the earliest.

### Anterior approach

After endotracheal intubation and induction of general anesthesia, all patients were placed in the supine position with the head slightly extended. A right-sided approach was mostly employed. A transverse skin-fold incision was made, beginning medially at the midline and extending up to the anterior border of the sternocleidomastoid muscle. Sometimes, a vertical incision medial to sternocleidomastoid was given when a larger exposure was warranted. The appropriate surgical level was confirmed by fluoroscopy and discectomy was performed. After the necessary level of discectomies, median part vertebral bodies along with the OPLL were drilled out (Midas-Rex drill, Fort Worth, Dallas, TX). The residual OPLL close to dura was drilled with a diamond burr till it got papery thin. If it was found tightly adherent to the dura, it was separated all around from the vertebral body and left as it was (floating OPLL). Once the dura was decompressed well, hemostasis was achieved. Later, the interbody cage with an internal diameter of 10-12 mm was filled with bony chips and was placed to maintain cervical alignment. Plating was done by locking screw and plates. Wound was closed over a drain. The patient was mobilized with hard cervical collar on the first post-operative day and a check X-ray was done to confirm the implant status before mobilization.

### Outcome assessment

Before discharge, patient's functional outcome was assessed by means of Nurick grade and a follow-up was performed initially once in 3 months and later once in 6 months till the 2<sup>nd</sup> year. Patients were followed up with X-ray of cervical spine to check for the hardware status.

## Results and Analysis

### Patient demographics and presentation

Out of these 63 patients, 49 were males and 14 females (M: F = 3.5:1) [Tables 1-3]. Age of all the patients ranged from 35 to 80 years (mean: 51.1 yrs). However, the maximum numbers of patients were between 41 and 50 years ( $n = 26$ ), followed by 51 and 60 years ( $n = 20$ ). All patients presented with sensory disturbances (mean duration: 9.6 months), while two patients had neck pain without motor and sensory complaints. Out of 63 patients, 61 patients presented with varying grades of upper and lower limb weakness (mean duration: 7.1 months) and 13 presented with sphincter disturbances. Only seven patients had signal intensity changes in T2W MRI suggestive of myelomalacia.

### Characteristics of OPLL

Ossification of the posterior longitudinal ligament morphology was determined based on X-ray and axial/sagittal MRI/CT images of cervical spine [Table 4]. The morphology of OPLL was continuous in 36 segmental in 17, mixed in 8, and others (focal) in 2 patients.

### Surgical intervention

An anterior approach (corpectomy, fusion, and plating) was performed in 14 patients [Tables 5, 6], while the posterior approach was performed in 48 patients (decompressive

**Table 1: Patient demographics**

Variable	Value
Mean age in years	51.1 years
Men/women	49/14
Mean preoperative Nurick grade	2.82
Mean duration of motor symptoms	7.1 months
Mean duration of sensory symptoms	9.6 months

**Table 2: Duration of motor disturbances**

Age	<6 months	7-12 months	12-18 months	18-24 months	Total
31-40	6	3	-	-	9
41-50	19	7	-	3	29
51-60	8	6	-	1	15
61-70	6	-	-	-	6
71-80	3	-	-	1	4

**Table 3: Duration of sensory disturbances**

Age	<6 months	7-12 months	12-18 months	18-24 months	Total
31-40	5	1	0	1	7
41-50	18	6	2	4	30
51-60	7	6	0	3	16
61-70	4	1	0	1	6
71-80	3	1	0	-	4

**Table 4: Characteristics of OPLL**

Type of OPLL	
Continuous	36
Segmental	8
Mixed	17
Others	2

OPLL – Ossification of the posterior longitudinal ligament

**Table 5: Type of surgery**

Type of surgery	
Corpectomy with fusion	14
Decompressive laminectomy	40
Laminoplasty	3
Laminectomy with fusion	5
Both anterior and posterior	1

laminectomy in 40, laminectomy with instrumented fusion in 5, laminoplasty in 3 patients). Both anterior and posterior approaches were done in one patient. Although the mean post-operative Nurick grade was 2.03 ( $P < 0.05$ ), the immediate post-operative Nurick grade in anterior or posterior approaches was 2.0 and 2.1, respectively. Two patients who underwent decompressive laminectomy with pre-operative Nurick grade of 4, deteriorated after surgery. Both of these patients had severe preoperative stenosis and MRI cord intensity changes. In seven patients there was no improvement in post operative Nurick grade and these patients were found to be having cord signal intensity changes on T2W images although this correlation was not found to be significant. Usually, the extent of laminectomy varied due to the extent of cord compression visualized on imageology. Surgical complications included wound infection or break down in two patients operated from posterior approach, dysphagia in five patients via anterior approach, whereas one patient required another surgery in the form of posterior decompression.

### Statistical analysis

Statistical analysis was performed using SPSS-13 [Table 7]. The data was categorized into two groups based on the post-operative change in the Nurick grade: Group 1: improvement in the Nurick grade 1 or more grades; Group 2: with no change or deterioration in the Nurick grade. Continuous data such as age, duration were compared using the independent sample *t*-test, categorical variables such as sex, type of surgery were compared using the Chi-square test and ordered categorical variables such as the Nurick grade and levels of involvement were compared using the Mann-Whitney U test. All tests were two-tailed and a  $P < 0.05$  was considered as a significant difference between the two groups. There was no statistically significant difference in the age ( $P = 0.65$ ), gender ( $P = 0.65$ ), duration of motor ( $P = 0.88$ ), sensory ( $P = 0.26$ ), autonomic ( $P = 0.94$ ) symptoms, preoperative Nurick grade ( $P = 0.35$ ), number of levels of

involvement ( $P = 0.82$ ) type of surgery (anterior approach or posterior approach) ( $P = 0.52$ ) between the two groups. The variables were compared between anterior and posterior approaches. Continuous data such as age, duration were compared using the independent sample *t*-test, categorical variables like sex, the presence of improvement were compared using the Chi-square test and ordered categorical variables such as the Nurick grade and levels of involvement were compared using the Mann-Whitney U test. All tests were two-tailed and a  $P < 0.05$  was considered as a significant difference between the two groups. The number of levels of involvement of OPLL were significantly greater ( $P = 0.00$ ) in those who underwent the posterior approach. However, there was no difference in the age ( $P = 0.38$ ), gender ( $P = 0.01$ ), duration of motor ( $P = 0.83$ ), sensory ( $P = 0.56$ ), autonomic ( $P = 0.94$ ) preoperative ( $P = 0.48$ ) or postoperative Nurick grade ( $P = 0.33$ ) and improvement ( $P = 0.84$ ) between the two approaches.

The data was categorized into two groups based on the post operative change in the Nurick grade: Group 1: Those with the Nurick grade 2 or less; Group 2: Those with the Nurick grade more than 2. The correlation between postoperative Nurick grade 2 or less and the noted variables was tested using Spearmans correlation. Age  $< 60$ , lower preoperative Nurick grade, the absence of autonomic involvement had significant correlation with improved outcome (post-operative Nurick grade 2 or less) and had no correlation with MRI signal intensity changes in our study.

### Discussion

OPLL is an important cause of spinal cord compressive disease. Chronic compression of the spinal cord is believed to be the mechanism for myelopathy and may contribute to significant neurological disability. It has been estimated that 70% of OPLL cases involve the cervical spine, while 15% involve the thoracic and remaining 15% affect the upper lumbar spine (L1-3).<sup>[1,2,6]</sup> Recent studies indicate that single-nucleotide polymorphism in the collagen 11A2 gene (*COL11A*)<sup>[7-9,10,11]</sup> located within the Class II histocompatibility complex region on chromosome 6, which encodes the  $\alpha 2$  chain of the Type XI collagen, could be responsible.<sup>[12,13]</sup>

Increased signal intensity of the spinal cord on T2-weighted MR imaging is often observed in patients with OPLL.<sup>[14-16]</sup> Takahashi *et al.* first reported the MR findings of intramedullary high signal intensity in patients with cervical spondylotic myelopathy.<sup>[17-19]</sup> We had seven patients with cord signal changes who did not show any significant improvement after surgery. Ischemia of the cord secondary to chronic compression has been postulated as one of the prime causes of deterioration not amenable to surgical decompression.

In our series, the clinical and radiographic presentation of OPLL was similar to the previously published data from East

**Table 6: Comparison of pre operative factors between two groups**

Preoperative factors	Anterior approach	Posterior approach
Age (years)	50.0 years	51.44 years
Duration of motor symptoms (in months)	6.8 months	7.2 months
Duration of sensory symptoms (in months)	7.9 months	10.2 months
Preoperative Nuricgrade	2.8	2.9
Post operative Nuric grade	2.0	2.1

**Table 7: Statistical analysis**

Variable	Correlation co-efficient	P value
Age<60	0.36	0.004
Autonomic involvement	0.34	0.009
Preoperative Nurick grade	0.34	0.007

Asia. We found a varied distribution of OPLL morphologies, with a predominance of continuous and segmental forms, similar to that reported in the literature concerning the Japanese patients. In the present study, the sex ratio was 3.5:1 (male:female), which is in accordance with study of Matsunaga *et al.* where they found it be 2.4:1. Another study by Tsuyama *et al.* also registered a sex ratio of 2:1.<sup>[20]</sup> In Trojan *et al.*'s review of 73 cases of OPLL in non-Asians, several similarities with the Japanese literature were evident.<sup>[21]</sup> These findings included: (1) male predominance, (2) peak age of symptoms in the 5<sup>th</sup>-6<sup>th</sup> decade of life, (3) varied clinical presentations, and (4) predominance for the cervical spine. In the series of Jayakumar *et al.*, 47 symptomatic Asian Indian patients of Caucasoid origin were studied.<sup>[22]</sup> Sixty-five percent of these patients were found to have continuous-type OPLL, and the disease predominantly affected the upper cervical spine. In our study, the majority was continuous type too. During the evaluation of patients with OPLL, preoperative planning is quintessential as this includes determining the extent of ossification and the direction of the surgical approach (anterior vs. posterior). Patient age and medical comorbidities also influence the decision to use either an anterior or posterior approach or whether to perform stabilization. The ideal surgical treatment option for multilevel ossification of posterior longitudinal ligament remains controversial and presents a significant surgical challenge.<sup>[23-26]</sup> Ventral ligaments should be removed to obtain ventral decompression, when the canal narrowing ratio exceeds 60%, or the ossification of posterior longitudinal ligament involves less than three segments. The advantages of anterior spinal fusion were as follows: (1) excision of the ossified mass enables complete decompression of the spinal cord; and (2) anterior spinal fluid creates a solid spinal fusion that can relieve pressure on the injured spinal cord (1). The disadvantages of anterior spinal approach were: (1) more techniques required, (2) more bone grafts for fusion, and (3) longer postoperative immobilization of the neck, etc., No statistical differences were noted between groups preoperatively in any of the studies, specifically looking at Nurick scores. Chen *et al.* found that anterior decompression had significantly better results than laminoplasty in postoperative JOA score, but the differences of postoperative JOA score did not reach statistical significance when comparing anterior corpectomy with posterior laminectomy or comparing laminectomy with laminoplasty.<sup>[27,25]</sup> Also, the neurological outcome of patients who underwent laminectomy and fusion was better than that of patients treated with laminoplasty. The existence of cervical kyphosis can result in tethering of the cord over ventral ossification of posterior longitudinal ligament protrusions. However, Vaccaro *et al.* noted 9% failure rate for two-level ACF with plating and a 50% failure rate for three-level ACF with plating.<sup>[28,29]</sup> Wang *et al.* concluded that a longer length graft was directly related to an increased incidence of graft

displacement.<sup>[30]</sup> A longer length autograft or allograft increases the duration required for osteogenesis and creeping substitution along the graft. Hee *et al.* reported incidences of plate-related problems in multilevel corpectomies.<sup>[31]</sup> Sasso *et al.* reported a 6% failure rate after fixed-plated 2-level anterior corpectomy decompression and fusion reconstruction and a 71% failure rate after 3-level fixed-plated anterior corpectomy decompression and fusion reconstruction.<sup>[32,33]</sup> To avoid these complications, discontinuous corpectomy with adjacent-level discectomy has been successfully performed with no plate loosening or graft migration.<sup>[34]</sup> The advantages of segmental anterior decompression and fusion, included significantly improved Nurick scores and JOA scores, restoration of cervical lordosis, and maintenance of height in fusion segments. Good to excellent clinical outcomes can be achieved in segmental anterior decompression and fusion if indications are well controlled, with thorough decompression, autografts from the vertebral body, and reconstruction of the lordotic cervical posture.<sup>[35]</sup> Posterior decompression is an alternative choice for multilevel ossification of the posterior longitudinal ligament when anterior corpectomy decompression and fusion threatens complications and poor fusion rate.<sup>[18]</sup> Lastly, the levels of corpectomies vary according to the surgeon's preference but the risks may outweigh the benefits in few. Our selection of surgical approach was based upon the guidelines of Hirabayashi *et al.*, favouring posterior indirect decompressive approaches (laminectomy with instrumented fusion or laminoplasty) for longer segment and continuous-type OPLL, and anterior approaches for younger patients with more focal lesions because multi level cervical corpectomy has significant rate of instrument failure. Our results of the anterior approach are comparable to the study by Abe *et al.* where analysis of surgical results in OPLL patients was also done [Table 8].<sup>[36,37]</sup>

In our patients, out of 63 patients, 49 patients were underwent decompressive laminectomy ranging from C2 to C7 depending on extent of OPLL.<sup>[37,38,35]</sup> Five patients underwent laminoplasty. Although laminoplasty has advantages of widening the spinal canal with preservation of posterior elements for the sake of stability after decompression (i.e., to prevent the development of kyphosis) our institutional preference was more toward laminectomy than just laminoplasty. But improvement after laminectomy or laminoplasty remains the similar as shown by Kaminsky *et al.*, where he compared two similar groups of patients treated with laminoplasty or laminectomy for CSM.<sup>[39,40]</sup> Results of our posterior approach were comparable to the study by Chen *et al.* [Table 9].<sup>[41,42]</sup> After comparing the outcome in both anterior and posterior approaches, anterior approaches were found to have better outcome than posterior ( $P = 0.84$ ). Also surgery at younger age had better outcome than older age patients ( $P = 0.65$ ). Also patients with less preoperative Nurick grade had better chances of improvement ( $P = 0.33$ ). The overall improvement

**Table 8: Review of literature (anterior approach)**

Authors and year	Total	Mean improvement	Follow up in years
Abe <i>et al.</i> , 1981 <sup>[37]</sup>	12	100%	NR
Iwasaki <i>et al.</i> , 2007 <sup>[43]</sup>	27	57%	6
Kim <i>et al.</i> , 2009 <sup>[44]</sup>	17	71.7%	2
Masaki <i>et al.</i> , 2007 <sup>[45]</sup>	19	68.4	1
Mizuno and Nakagawa, 2001 <sup>[46]</sup>	107	89%	2
Present study	14	99%	2

NR-Not reported

**Table 9: Review of literature (laminectomy)**

Authors and year	Total	Mean improvement (%)	Follow in years
Chen <i>et al.</i> , 2009 <sup>[5]</sup>	83	62.4	2.4
Cho <i>et al.</i> , 2008 <sup>[47]</sup>	14	43.5	3.4
Kato <i>et al.</i> , 1998 <sup>[48]</sup>	44	32.8	14.1
Present study	49	63.49	2

from the study was 85% (54/63), while 11.1% (7/63) remained static and remaining 3.1% (2/63) deteriorated. Mizuno and Nakagawa reported their experience in treating 107 patients with OPLL who underwent anterior cervical corpectomy and direct removal of the ossified mass. Surgery-related outcomes were excellent or good in 89% and fair in 11%. Patients underwent follow-up for 6 months, and the overall fusion rate was 97%, with three patients requiring additional surgery for pseudoarthrosis. Kato *et al.* reported the largest series of patients treated with cervical laminectomy for OPLL. In their series, patients underwent cervical laminectomy with a mean follow-up of 14.1 years. The neurological recovery rate of 44.2% at 1 year after laminectomy was maintained at 5 years.

In the present study, the factors affecting the better outcome were: (1) age of the patient at the time of surgery, (2) pre-operative Nurick grade, (3) The presence of autonomic symptoms. The duration of motor and sensory symptoms, history of trauma, number of vertebral level involvement, and type of OPLL were found to be adversely affecting the outcome although it never reached the level of significance. Our study had some limitations also. These included a follow up of only 1 year duration and inter-surgeon variability in terms of the extent of laminectomy. Also, due to financial reasons, all patients could not undergo CT cervical spine to diagnose OPLL conclusively.

## Conclusions

Anterior cervical decompression and reconstruction is a safe and appropriate treatment for cervical spondylotic myelopathy in the setting of single or two level OPLL. Younger patients with good pre-operative functional status and less than two levels of involvement have better outcome following anterior surgery as more than two level corpectomy is associated with very high instrumentation failure rate hence posterior decompression

has remained good option. Posterior decompressive surgery with/without maintained lordosis can be in more than three segments OPLL and preferably instrumentation can be done to obviate the sequelae. Overall, this complex entity needs careful assessment of the pre-operative imaging so that tailor-made surgeries for individual patients could be offered with due prognostification.

## Acknowledgment

I thank Dr. Vijayasradhi, Praveen A, Suchanda B, Vamsikrishna Y, Rajesh A, Ashish K, Dinesh S, and Dr. Padmaja Durga for the help in statistical analysis, Vamshidhar A, Kranthi S for their help in graphs and tables.

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**How to cite this article:** Kommu R, Sahu BP, Purohit AK. Surgical outcome in patients with cervical ossified posterior longitudinal ligament: A single institutional experience. *Asian J Neurosurg* 2014;9:196-202.

**Source of Support:** Nil, **Conflict of Interest:** None declared.